

# Advanced topics in TCS

## Exercise sheet 4: **Solution**

### Minimum spanning tree, Testing $k$ -connectivity

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#### Question 1. Minimum Spanning Tree (MST)

We consider a weighted graph  $G = (V, E, w)$ , where  $w : E \rightarrow \mathbb{N}$  is an edge weight function. A *minimum spanning tree*  $F \subseteq E$  in  $G$  is a spanning tree in  $G$  of minimum weight, i.e., the sum of its edge weights is as small as possible.

We consider the streaming edge-arrival model where the edges arrive together with their weights. More specifically, the input stream consists of a sequence of tuples  $(e_i, w(e_i))_i$ , where  $w(e_i)$  is the weight of edge  $e_i$ .

1. Give a 1-pass semi-streaming algorithm for computing an MST. *Solution:*

```
 $F \leftarrow \emptyset$   
While stream not empty:  
  (a) Let  $e$  be the next edge in the stream  
  (b) if  $(F \cup \{e\})$  does not contain a cycle then  $F \leftarrow F \cup \{e\}$   
  (c) else ( $(F \cup \{e\})$  does contain a cycle)  
    i. Let  $C$  be the edge set of the (unique) cycle in  $F \cup \{e\}$   
    ii. Let  $f$  be an edge of maximum weight in  $C \setminus \{e\}$   
    iii. if  $w(f) > w(e)$  then  $F \leftarrow (F \setminus \{f\}) \cup \{e\}$   
return  $F$ 
```

2. Let  $E_i$  be the first  $i$  edges in the stream,  $G_i = (V, E_i, w|_{E_i})$  (where  $w|_{E_i}$  denotes the weight function  $w$  restricted to the domain  $E_i$ ), and let  $F_i$  denote the collection of edges stored by the algorithm given in the previous exercise after iteration  $i$ . Prove by induction that  $F_i$  is a MST in  $G_i$ .

The following property may be useful:

**Lemma 1.** Let  $T \subseteq E$  be a spanning tree in a weighted graph  $G = (V, E, w)$ . Then, if  $T$  is not a minimum spanning tree, then there exists an edge  $e \in E \setminus T$  such that  $w(e) < w(f)$ , for at least one edge  $f$  different to  $e$  in the unique cycle in  $T \cup \{e\}$ .

*Hint:* Adapt the spanning tree algorithm from the lecture.

*Solution:*

*Proof.*

**Base case.**  $F_0 = \emptyset$  and  $E_0 = \emptyset$ . Observe that  $F_0$  is a MST of an empty graph.

**Induction step.** Let  $F_i$  be a MST in graph  $G_i$ . We will only consider the interesting case when  $F_{i+1} = (F_i \setminus \{f_{i+1}\}) \cup \{e_{i+1}\}$ , where  $f_{i+1}$  is the edge of the cycle  $C_{i+1}$  that was removed when inserting  $e_{i+1}$ . Observe that this implies that  $w(e_{i+1}) < w(f_{i+1})$ .

Assume for the sake of a contradiction that  $F_{i+1}$  is not a MST in  $G_{i+1}$ . Then, by Lemma 1, there exists an edge  $e \in E_{i+1} \setminus F_{i+1}$  such that  $F_{i+1} \cup \{e\}$  contains a unique cycle  $C$  with  $w(e) < w(f)$  for some edge  $f \in C \setminus \{e\}$ . Since  $e_{i+1} \in F_{i+1}$  and  $e \notin F_{i+1}$ , we have  $e \neq e_{i+1}$  and therefore  $e \in E_i$ .

We will argue now that  $F_i \cup \{e\}$  also contains a cycle  $C'$  such that  $e$  is not a heaviest edge in  $C'$ . This, however, contradicts then the fact that  $F_i$  is a MST, since we could swap in  $F_i$  the edge  $e$  with a heaviest edge in  $C'$  and create a spanning tree of less weight.

We consider two cases:

- (a) First, suppose that  $e_{i+1} \notin C$ . Then,  $C \subseteq E_i$  and  $C$  also constitutes a cycle in  $F_i \cup \{e\}$  with the same property that  $e$  is not a heaviest edge in this cycle.
- (b) Next, suppose that  $e_{i+1} \in C$ . Then, the symmetric difference  $C' = C \oplus (C_{i+1} \setminus \{e_{i+1}\})$  (with  $A \oplus B := (A \setminus B) \cup (B \setminus A)$ ) also forms a cycle that necessarily contains the edges  $f_{i+1}$  and  $e$  (see Figure 1). Two configurations are possible:

Suppose first that  $f \in C'$  (top illustration in Figure 1) . Then we are done since  $w(e) < w(f)$ .

Next, suppose that  $f \notin C'$  (bottom illustration in Figure 1). Then, we necessarily have that  $f \in C_{i+1}$  and since the algorithm removed  $f_{i+1}$  from  $F_i$  instead of  $f$ , we have  $w(f) \leq w(f_{i+1})$ . Since  $w(e) < w(f)$ , we also have  $w(e) < w_{f_{i+1}}$  and  $e$  is thus not the heaviest edge.

□

## Question 2. Deciding $k$ -Connectivity

We say that a graph  $G$  is  $k$ -connected if we need to remove at least  $k$  edges from  $G$  in order to disconnect  $G$ .

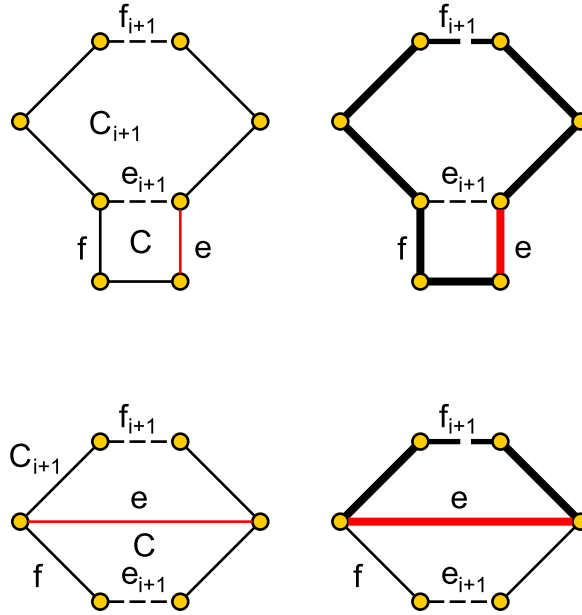


Figure 1: Solution to the MST exercise. Top: Case  $f \in C'$ . Bottom: Case  $f \notin C'$ .

Consider the following algorithm for deciding  $k$ -connectivity of a graph:

1.  $F_1, F_2, \dots, F_k \leftarrow \emptyset$
2. For each edge  $e$  in the stream: If there is an  $i \in \{1, \dots, k\}$  such that  $F_i \cup \{e\}$  has no cycle then add  $e$  to  $F_i$  (if there are multiple such  $i$  then pick only one, ties can be broken arbitrarily)
3. Post-processing: Let  $F = \bigcup_{i=1}^k F_i$   
If  $(V, F)$  is  $k$ -connected then **return** “ $G$  is  $k$ -connected”, otherwise **return** “ $G$  is not  $k$ -connected”

Algorithm 1.

1. How much space does Algorithm 1 use (as a function of  $n$  and  $k$ )?

*Proof.*

Since each set  $F_i$  is a spanning forest, we have  $|F_i| \leq n - 1$ . We thus store at most  $k \cdot (n - 1)$  edges. Accounting space  $O(\log n)$  for the storage of an edge, we obtain space  $O(kn \log n)$ . Observe that this is a semi-streaming algorithm as long as  $k = O(\text{poly } \log n)$ . □

2. Prove that the algorithm is correct.

*Proof.*

Suppose first that  $(V, F)$  is  $k$ -connected. Then, since  $(V, F)$  is a subgraph of

$G$ , we have that  $G$  is also  $k$ -connected. The algorithm is thus correct when it outputs  $G$  is  $k$ -connected.

Suppose now for the sake of a contradiction that  $G$  is  $k$ -connected but the algorithm outputs  $G$  is not  $k$ -connected. The fact that the algorithm outputs that  $G$  is not  $k$ -connected implies that  $(V, F)$  is not  $k$ -connected. Hence, we can remove at most  $k - 1$  edges from  $(V, F)$  in order to disconnect  $(V, F)$ , or, in other words, the graph  $(V, F)$  contains a cut, i.e., a partitioning of the vertex set into two parts  $S, V \setminus S$ , such that at most  $k - 1$  edges connect  $V$  to  $S \setminus V$ . Observe that this also implies that there exists an index  $i$  such that  $F_i$  does not contain an edge across the cut  $(S, V \setminus S)$ . Recall that  $G$  is  $k$ -connected. Hence, there exists an edge  $e \in E \setminus F$  that connects a vertex in  $S$  to a vertex in  $V \setminus S$ . However, this implies that when  $e$  arrived in the stream, it would have been inserted into  $F_i$ : Since  $F_i$  does not contain an edge crossing the cut, adding  $e$  to  $F_i$  would not create a cycle). This is a contradiction, which completes the proof.  $\square$